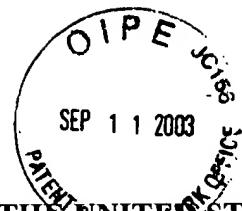


S/N 09/966839



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	David M. Horne	Examiner:	Unknown
Serial No.:	09/966839	Group Art Unit:	2874
Filed:	September 28, 2001	Docket:	884.601US1
Title:	METHOD AND APPARATUS FOR TRANSMISSION OF UPSTREAM DATA IN AN OPTICAL NETWORK		
Assignee:	Intel Corporation	Customer No.:	21186

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**RECEIVED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SEP 15 2003  
Technology Center 2600

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Applicant notes that the enclosed documents were first brought to Applicant's attention via the International Search Report in PCT/US02/31155, mailed June 18, 2003, a copy of which is enclosed.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :09/966839

Filing Date: September 28, 2001

Title: METHOD AND APPARATUS FOR TRANSMISSION OF UPSTREAM DATA IN AN OPTICAL NETWORK

Assignee: Intel Corporation

Page 2

Dkt: 884.601US1 (INTEL)

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

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Respectfully submitted,

DAVID M. HORNE

Technology Center 2600

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Attorneys for Intel Corporation

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Minneapolis, Minnesota 55402

(612) 349-9592

Date Sept. 8, 2003

By Ann M. McCrackin

Ann M. McCrackin

Reg. No. 42,858

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 8 day of September, 2003.

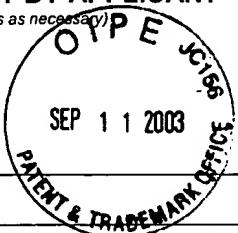
Name

Kacia Lee

Signature

Kacia Lee

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b> <b>Application Number</b> 09/966839 <b>Filing Date</b> September 28, 2001 <b>First Named Inventor</b> Horne, David <b>Group Art Unit</b> 2874 <b>Examiner Name</b> Unknown	
Sheet 1 of 1		Attorney Docket No: 884.601US1	



### US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	EP-0425732	05/08/1991	Schaffer, Bernhard	H04J	14/08	
	EP-0777353	06/04/1997	Asano, H. K.	H04J	14/02	
	WO-00/74278	12/07/2000	Pfistner, C. P.	H04B	10/24	
	WO-95/17055	06/22/1995	Hale, M. A., et al.	H04J	14/08	

### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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**EXAMINER**

**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2874

2633

Applicant: David M. Horne

Title: METHOD AND APPARATUS FOR TRANSMISSION OF UPSTREAM DATA IN AN  
OPTICAL NETWORK

Docket No.: 884.601US1

Filed: September 28, 2001

Examiner: Unknown

Serial No.: 09/966839

Due Date: N/A

Group Art Unit: 2874

#3  
S/T  
09-26-03

Commissioner for Patents

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Alexandria, VA 22313-1450

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We are transmitting herewith the following attached items (as indicated with an "X"):

- A return postcard.
- A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), and copies of 4 cited documents.
- Copy of International Search Report in PCT/US02/31155, mailed June 18, 2003 (3 pgs.).

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Ann M. McCrackin  
Atty: Ann M. McCrackin  
Reg. No. 42,858

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 8 day of September, 2003.

Name

Kacia Lee

Signature

Kacia Lee

**Customer Number 21186**

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

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(GENERAL)